

Attorney's Docket No.: 007101.P011

Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
FILING UNDER 35 U.S.C. § 371

|                                   |   |                            |
|-----------------------------------|---|----------------------------|
| In re Patent Application of:      | ) |                            |
|                                   | ) |                            |
| Jonathan Paul Newall              | ) |                            |
|                                   | ) | Examiner: Not Yet Assigned |
| Application No.: Not Yet Assigned | ) |                            |
|                                   | ) | Art Unit: Not Yet Assigned |
| Filed: Herewith                   | ) |                            |
|                                   | ) |                            |
| For: ASYMMETRIC ROLLER CROWN      | ) |                            |
| _____                             | ) |                            |

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

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Enclosed is a copy of Information Disclosure Citation Form PTO/SB/08 together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed). It is respectfully requested that the cited documents be considered and that the enclosed copy of Information Disclosure Citation Form PTO/SB/08 be initialed by the Examiner to indicate such

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consideration and a copy thereof returned to Applicant. The references were cited in a Great Britain Search Report dated April 26, 2004 and an International Search Report dated January 11, 2005 (copies enclosed herewith) in a counterpart PCT application.

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

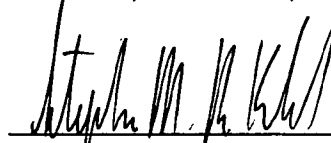
Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

- X   37 C.F.R. §1.97(b).  
       37 C.F.R. §1.97(c). If so, then enclosed with this Information Disclosure Statement is one of the following:  
       A statement pursuant to 37 C.F.R. §1.97(e) or  
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(1) A statement pursuant to 37 C.F.R. §1.97(e); and  
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If there are any additional charges, please charge Deposit Account No. 02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP



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Substitute for Form 1449/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Complete if Known

|                        |                      |
|------------------------|----------------------|
| Application Number     | Not Yet Assigned     |
| Filing Date            | Herewith 10/5/9453   |
| First Named Inventor:  | Jonathan Paul Newall |
| Art Unit               | Not Yet Assigned     |
| Examiner Name          | Not Yet Assigned     |
| Attorney Docket Number | 007101.P011          |

Sheet 1 of 2

## U.S. PATENT DOCUMENTS

| Examiner Initials* | Cite No. <sup>1</sup> | Document Number                          |                 | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant<br>Passages or Relevant<br>Figures Appear |
|--------------------|-----------------------|--|-----------------|--------------------------------|--|--|
|                    |                       | Number-Kind Code <sup>2</sup> (If known) |                 |                                |  |  |
|                    |                       | US-                                      | 3,087,348       | 04-30-1963                     | Kraus  |  |
|                    |                       | US-                                      | 2003/0110004 A1 | 06-12-2003                     | Ikeda, et al.                                      |  |
|                    |                       | US-                                      |                 |                                |  |  |
|                    |                       | US-                                      |                 |                                |  |  |
|                    |                       | US-                                      |                 |                                |  |  |
|                    |                       | US-                                      |                 |                                |  |  |
|                    |                       | US-                                      |                 |                                |  |  |
|                    |                       | US-                                      |                 |                                |  |  |
|                    |                       | US-                                      |                 |                                |  |  |
|                    |                       | US-                                      |                 |                                |  |  |
|                    |                       | US-                                      |                 |                                |  |  |
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|                    |                       | US-                                      |                 |                                |  |  |

## FOREIGN PATENT DOCUMENTS

| Examiner Initials* | Cite No. <sup>1</sup> | Foreign Patent Document   |  | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where Relevant<br>Passages or Relevant<br>Figures Appear | T <sup>6</sup> |
|--------------------|-----------------------|---------------------------|--|--------------------------------|--|---|----------------|
|                    |                       | Country Code <sup>3</sup> | Number <sup>4</sup> Kind Code <sup>5</sup><br>(if known) |                                |  |   |                |
|                    |                       | DE                        | 28 47 919  | 05-22-1980                     | Jackman  |   |                |
|                    |                       | DE                        | 198 26 057 A 1   | 12-16-1999                     | ZF Friedrichshafen AG                              |   |                |
|                    |                       | DE                        | 198 26 281 A 1   | 12-16-1999                     | Albersinger  |   |                |
|                    |                       | FR                        | 2440498  | 05-30-1980                     | Jackman  |   |                |
|                    |                       | GB                        | 381,969  | 10-17-1932                     | Hayes  |   |                |
|                    |                       | GB                        | 673,168  | 06-04-1952                     | Strecker   |   |                |
|                    |                       | GB                        | 784,295  | 10-09-1957                     | Weisel   |   |                |

|                    |                 |                 |            |
|--------------------|-----------------|-----------------|------------|
| Examiner Signature | /William Joyce/ | Date Considered | 10/01/2009 |
|--------------------|-----------------|-----------------|------------|

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. <sup>6</sup>If possible. <sup>6</sup>Applicant is to place a check mark here if English language translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SENT FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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